



RCA 89,086

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : K. L. Grimes, et al.
Serial No. : 09/427,388
Filed : November 26, 1999
For : an ADAPTIVE TRANSPORT PROTOCOL DECODER
Art Group Unit : 2666

INFORMATION DISCLOSURE STATEMENT

- ☐ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☒ 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Hon. Assistant Commissioner for Patents,
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR § 1.97(d): [before issue fee payment]; and
- (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch _____, Date of Allowance _____"), and
- (b) The required Certification is stated in item 11 below.

[X] 11 Certification

[X] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

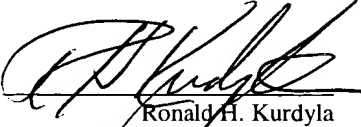
[XX] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,

K. L. Grimes, et al.

Date: November 23, 2004

BY:


Ronald H. Kurdyla
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Patent Operations
Thomson Licensing Inc.
PO. Box 5312
Princeton, NJ 08543-0028

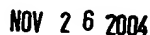
Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: November 23, 2004

Signature


Linda Tindall



Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

(Use as many sheets as necessary)

Sheet	1
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of	2
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Attorney Docket Number

Complete if Known

Application Number

09/427.388

Filing Date

10/26/99

First Named Inventor

K.L. Grimes, et al.

Art Unit

2666

Examiner Name _____

Kevin C. Harper

Attorney Docket Number

RCA 89,086

[illegible][illegible]

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 2

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Application Number	09/427,388
Filing Date	10/16/99
First Named Inventor	K.L. Grimes, et al.
Art Unit	2666
Examiner Name	Kevin C. Harper
Attorney Docket Number	RCA 89,086

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Anonymous: "Stream Scheduler for MPEG-2 Transport Stream Multiplexer", RESEARCH DISCLOSURE, Vol. 513, No. 127, 1 Sept. 1998, XP002262616, Havant, UK, article No. 413127.	
		European Search Report	

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